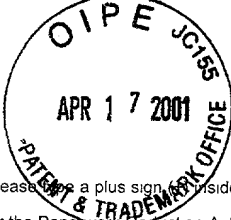


04/17/01

11052 U.S. PTO

Please affix a plus sign (+) inside this box ☐

Approved for use through 10/31/2002 OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. Ragen, F. #01A
 First Inventor Ragen, F.
 Title Baby Support Wrap
 Express Mail Label No. _____

11052 U.S. PTO

09/837743

04/17/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☐ Specification [Total Pages]
 (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
- b. ☐ (for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
 (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09/312,260

Prior application information

Examiner Conley, E.Group Art Unit 3628

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Don E. Erickson

Address Law Office, FMB 182
3834 Valley Center Drive # 745

City San Diego State CA Zip Code 92134-2331

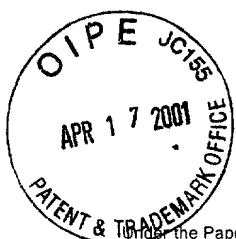
Country U.S. Telephone 858-350-1348 Fax 350-1349

Name (Print/Type) Don E. Erickson Registration No. (Attorney/Agent) 38,873

Signature Don E. Erickson Date 4/13/01

The PTO did not receive the following listed item(s) Return (US Patent)

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PTO/SB/17 (11-00)
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 521-

Complete if Known

Application Number 09/312,260
Filing Date 05/14/99
First Named Inventor Regan, F.
Examiner Name Conley, F.
Group Art Unit 3629
Attorney Docket No. Regan F. 441A

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Fee Paid

101 710 201 355 Utility filing fee 355

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims 34
Independent Claims 4
Multiple Dependent
Extra Claims 14
Fee from below 9
Fee Paid 126
3** = 1 X 40 = 40

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Fee Paid

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

166

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Small
Entity Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or cover sheet
139 130 139 130 Non-English specification
147 2,520 147 2,520 For filing a request for ex parte reexamination
112 920* 112 920* Requesting publication of SIR prior to Examiner action
113 1,840* 113 1,840* Requesting publication of SIR after Examiner action
115 110 215 55 Extension for reply within first month
116 390 216 195 Extension for reply within second month
117 890 217 445 Extension for reply within third month
118 1,390 218 695 Extension for reply within fourth month
128 1,890 228 945 Extension for reply within fifth month
119 310 219 155 Notice of Appeal
120 310 220 155 Filing a brief in support of an appeal
121 270 221 135 Request for oral hearing
138 1,510 138 1,510 Petition to institute a public use proceeding
140 110 240 55 Petition to revive - unavoidable
141 1,240 241 620 Petition to revive - unintentional
142 1,240 242 620 Utility issue fee (or reissue)
143 440 243 220 Design issue fee
144 600 244 300 Plant issue fee
122 130 122 130 Petitions to the Commissioner
123 50 123 50 Processing fee under 37 CFR 1.17(q)
126 180 126 180 Submission of Information Disclosure Stmt
581 40 581 40 Recording each patent assignment per property (times number of properties)
146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))
149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))
179 710 279 355 Request for Continued Examination (RCE)
169 900 169 900 Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Don E. Erickson

Registration No.
(Attorney/Agent)

39,873

Complete (if applicable)

Telephone

855-354-1348

Signature

Don E. Erickson

Date

4/13/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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